#### CRISTIANO CHESI

NETS, IUSS LABORATORY
FOR NEUROLINGUISTICS,
COMPUTATIONAL LINGUISTICS
AND THEORETICAL SYNTAX

# Introduction to Linguistic Computation & Complexity Theory

Ph.D. in Theoretical and Experimental Linguistics (TEL)

#### Index

- Formal Grammars
  - Formal approaches to Linguistic Competence
  - Phrase Structure Grammars (PSG) and Chomsky's hierarchy
- A theory for (linguistic) computation
  - Linguistic formalisms in processing
  - Introduction to computability and complexity.
  - Parsing as a prerequisite for understanding
- Advances in linguistic formalisms and processing
  - Competence & Performance
  - Minimalist Derivations
  - Complexity as intervention
  - Small Language Modeling and evaluation

## Essential References

#### **Introductory**

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#### **Advanced**

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Formal grammars (the shopping list to prepare a linguistic cake)

#### Plato's problem



How is it possible that human beings, whose contacts with the world are brief, personal, and limited, are still able to know so much?



- A linguistic example:
  - Which car do you think the mechanic fixed \_ ?
  - \*Which car do you think the mechanic fixed the engine?



A logical conclusion:

We come with an **innate predisposition** to assign **a precise structural analysis** to the (linguistic) **input** we receive (and **not infinite other possible analyses**)

• How is it possible that of all the information we receive as input we manage to process so little of it and in such a distorted way?

#### A Linguistic example:

- The red car the coachbuilder took to the garage had a perforated fender, a dented wheel, and a scratch on the upholstery.
- The mechanic repaired a car that had a punctured wheel, a dented fender and a scratch on the windshield.

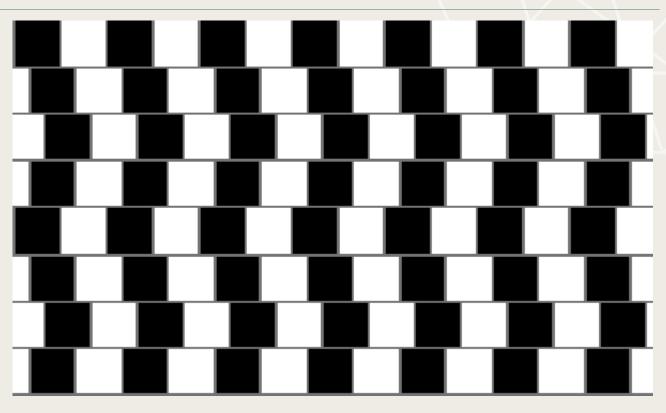
#### A logical conclusion:

Our **processing** of an input (linguistic) **neglects numerous details** that are **reconstructed** by relying on our (**linguistic**) **expertise** and acquired knowledge of the world (**bias**)

#### Orwell's problem



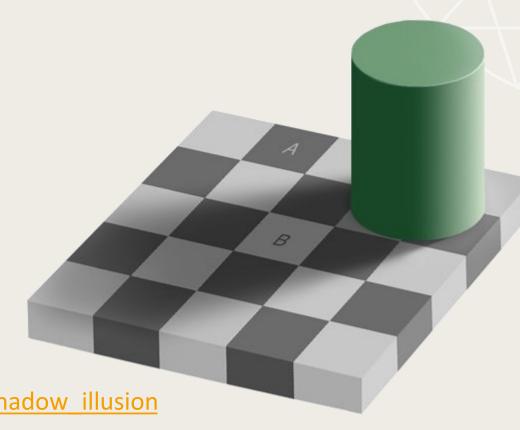
#### What you see is not what is there



#### Münsterberg figure

https://en.wikipedia.org/wiki/Caf%C3%A9\_wall\_illusion

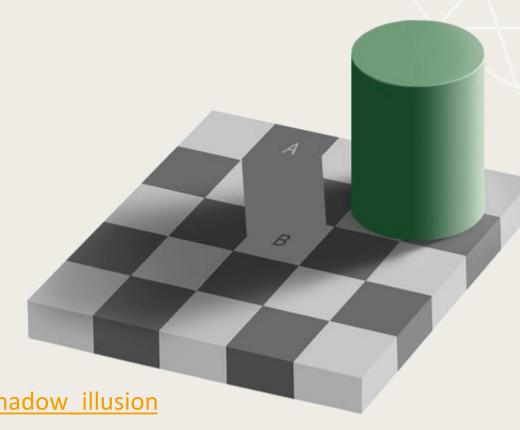
## What you see is not what is there



#### **Adelson figure**

https://en.wikipedia.org/wiki/Checker shadow illusion

## What you see is not what is there

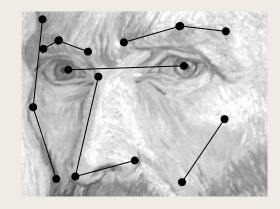


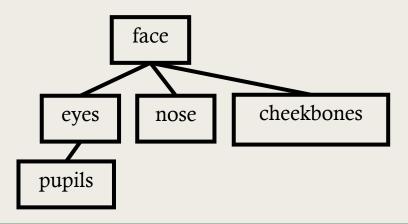
#### **Adelson figure**

https://en.wikipedia.org/wiki/Checker shadow illusion

## A structural approach to Vision

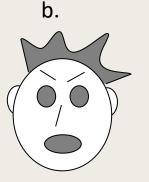


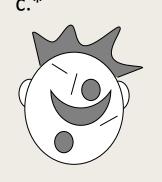


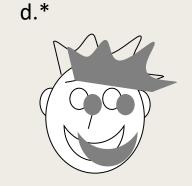


#### A structural approach to Vision











Il bambino chiude la porta

Il bambino apre la porta \*Bambino il porta chiude la \*I bambino chiudono le porta

\*Il bambino chiude

The child

The child

\*Child the closes the door opens the door closes door the closes the door

\*The childs

\*The child closes

#### Linguistic Competence

- What kind of competence (information structure) do we have?
  - A word can start by wo... (word) but not by wb...
  - The s in "sings" is different from the one in "roses"
  - "the rose is beautiful" Vs. \*"the is beautiful rose"
  - "The cat chases the dog" > subj: cat(agent); verb: chase(action); obj: dog(patient)
  - ?the television chases the cat
  - "the houses" Vs. "some house"
- Linguistic competence is a finite knowledge that allows us to:
  - Recognizing as grammatical an infinite set of expressions
  - Assigning to them the correct meaning(s)

## Linguistic Competence

- Simple properties:
  - Word order > meaning ... but consider structural ambiguities!
     e.g. I saw a man in the park with a binocular
  - Agreement

```
e.g. *la mela rosso (lit. the.f red.f/*M apple. f)
Gianni ha visto Maria vs. Gianni l'ha vista
G.M has seen.M/*f M.F G.M her.f has seen.f/*M
```

Non-local dependencies (pronominal binding, syntactic movement) e.g. 
cosa<sub>i</sub> credi che Maria abbia chiesto a Luigi di comprare \_<sub>i</sub>?
(what<sub>i</sub> do you think (that) M. asked to L. to buy \_<sub>i</sub> ?)
Gianni<sub>i</sub> promette a Maria<sub>j</sub> di \_<sub>i/\*j</sub> andare a trovarla<sub>j/k</sub>
Gianni<sub>i</sub> chiede a Maria<sub>j</sub> di \_<sub>\*i/j</sub> andare a trovarla<sub>\*j/k</sub>
G<sub>i</sub> promises/asks to M. <sub>i = j/\*i</sub> \*<sub>i/j</sub> to go to visit her <sub>i/k</sub> \*<sub>i/k</sub>

- Adequacy: a grammar must provide an adequate description of the linguistic reality we want to describe.
- We will consider three levels of adequacy:
  - Observational: the language described by the grammar coincides with the one we want to describe
  - Descriptive: the grammatical analysis provides relevant structural descriptions that are coherent with the speakers' intuitions
  - Explicative: the grammar is learnable and it permits to draw conclusions on what's more or less difficult to be processed.

#### Grammar adequacy Noam Chomsky



## Descriptve levels David Marr



#### **Vision** (1981)

- Computational level: What is the goal of the computation, why is it appropriate, and what is the logic of the strategy by which it can be carried out?
- Representational and algorithmic level: How can this computational theory be implemented? In particular, what is the representation for the input and output, and what is the algorithm for the transformation?

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• Hardware implementational level: How can the representation and algorithm be realized physically?

- Finite sets definition:  $A = \{a, b, c\}$
- Infinite (inductive) set definition:  $A = \{x: x \text{ has a propriety } p\}$
- Ordered sets (n-tuples): A = (a, b, c)
- Cardinality: |A| = number of items of A
- **Output** Cartesian product:  $A = \{a, b, c\}$   $B = \{x, y\}$

$$A X B = \{(a, x), (b, x), (c, x), (a, y), (b, y), (c, y)\}$$

- **Output** Union:  $A \cup B = \{x: x \in A \text{ or } x \in B\}$
- **Oncatenation:**  $A \circ B = \{xy: x \in A \text{ and } y \in B\}$
- **Star (Kleene operator)**:  $A^* = \{x_1x_2 ... x_n : n \ge 0 \text{ for any } x_i \in A\}$

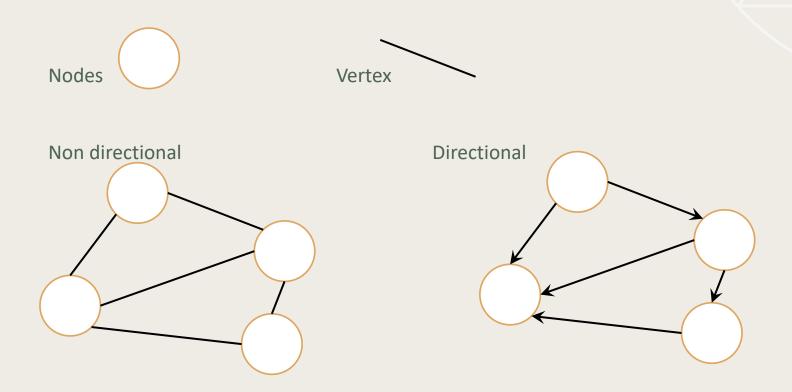
• Indexes:  $x_k = k^{th}$  element in a series

 $x^k$  = a series of k elements

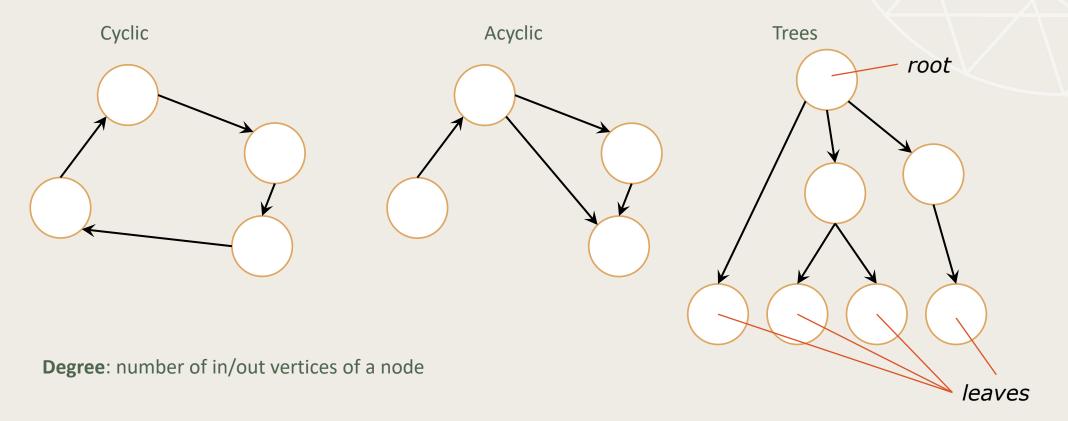
 $X^R$  = mirror image of X

- Function:  $f(x) \rightarrow y$  (x = Domain, y = Range):
- Predicates:  $f(x) \rightarrow \{true, false\}$
- n-places predicates:  $f(x, y ... z) \rightarrow \{true, false\}$
- **Equivalence relation**: binary predicates R for which the following properties are valid:
  - R is **reflexive**, that is, for any x, xRx;
  - R is **symmetric**, that is, for any x and y, if xRy then yRx;
  - R is **transitive**, that is, for any x, y and z, if xRy and yRz then xRz;

#### Graphs



#### Graphs



• A = Alphabet

Finite set of chars (A\* = the set of all possible strings built concatenating elements of A;  $\epsilon$  is the null element)

**○** V = Vocabulary

(potentially in)finite set of words, built concatenating elements of A  $(V \subseteq A^*)$ 

• L = Language

(potentially in)finite set of sentences, built concatenating elements of V  $(L \subseteq V^*)$ 

 A formal grammar for a language L is a set of rules that allows us to recognize and generate all (and only) the sentences belonging to L and (eventually) assign to them an adequate structural description.

- A Formal Grammar G must be:
  - explicit (each grammaticality judgment must be just the result of the mechanical application of the rules)
  - consistent (the very same sentence can't be judged both grammatical and ungrammatical at the same time)

Phrase Structure Grammar, PSG (Chomsky 1965) is an ordered 4-tuple (V<sub>T</sub>, V<sub>N</sub>, →, Roots):

 $V_T$  is the terminal vocabulary

 $V_N$  is the non-terminal vocabulary  $(V_T \cup V_N = V)$ 

is a binary, asymmetric, transitive relation defined on V\*, also known as rewriting rule: for any symbol  $A \in V_N$   $\varphi A \psi \to \varphi \tau \psi$  for some  $\varphi$ ,  $\tau$ ,  $\psi \in V^*$ 

**Roots** is a subset of  $V_N$  defined as the axiom(s) of the rewriting rules. By default, **S** (Sentence) is the only symbol present in this set.

- Give two strings  $\phi$  and  $\psi \in V^*$  there is a  $\phi$ -derivation of  $\psi$  if  $\phi \rightarrow^* \psi$ .
- If there is a  $\phi$ -derivation of  $\psi$  then we conclude that  $\phi$  dominates  $\psi$ . Such a relation is reflexive and transitive.
- $\odot$  A  $\phi$ -derivation of  $\psi$  is **terminated** if:
  - $\bullet \ \psi \in V_T^*$
  - There is no  $\chi$  such that a  $\psi$ -derivation of  $\chi$  exists
- $\odot$  Given a grammar **G**, a language generated by **G**, is said **L(G)**, that is the set  $\psi$  of all possible strings for which a terminated S-derivation of  $\psi$  exists

## Structural description (syntactic tree)

• A Structural Description is a 5-tuple

(**V**, **I**, **D**, **P**, **A**) such that:

- **V** is a finite set of vertices (e.g.  $v_1$ ,  $v_2$ ,  $v_3$ ...)
- I is a finite set of labels (e.g. S, DP, VP, the, table...)
- D is a dominance relation, which is a weak relation (namely a binary, reflexive antisymmetric and transitive relation) defined on V
- P is a **precedence** relation, which is a **strict order** (namely a binary, anti-reflexive antisymmetric and transitive relation) defined on **V**
- A is an assignment function; i.e. a non surjective relation from V to I

### Generative capacity and equivalence

- The generative capacity indicates the set of sentences that can be generated;
   two grammars can be considered equivalent in two senses:
  - Weak, if only the set of sentences is considered
  - Strong, if we also consider the structural description associated

#### Decidability

- $\odot$  A set  $\Sigma$  is considered
  - **O** decidable (or recursive) if for any element e, belonging to the universe set, there is a mechanical procedure that in a finite set of steps terminates by saying if  $e \in \underline{\text{or}} \notin \text{to } \Sigma$  (not belonging to  $\Sigma$  implies that e belongs to the complement of  $\Sigma$  defined as  $\Sigma$ )
  - lacktriangle Recursively enumerable when a procedure exists that enumerates all and only the elements of  $\Sigma$

## Regular Grammars / Languages

• Regular grammars admit rules of this kind:

$$A \rightarrow xB$$

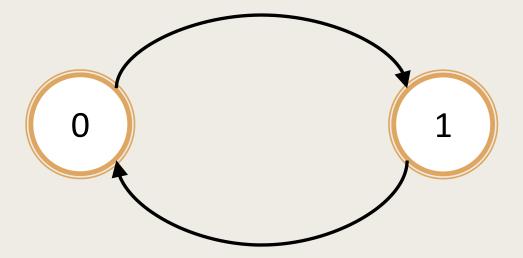
Or (systematically) of this kind:

$$A \rightarrow Bx$$

The languages generated by such grammars are named Regular

#### Automata and computation

- Automata are mathematical computational models composed by states and transitions among states
- Example of automaton: electric switch!
  - 0 = 0
  - **O** 1 = off
  - -> = push



#### Finite State Automata (FSA)

#### Finite-State Automata (FSA)

are 5-tuples  $\langle Q, \Sigma, q_0, F, \delta \rangle$  where:

**Q** = non-null, finite set of states

Σ = non-null, finite set of characters (alphabet) acceptable as input

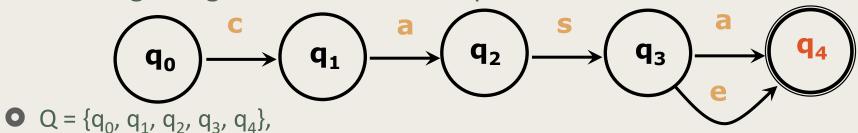
 $\mathbf{q_0}$  = initial states, such that  $\mathbf{q_0} \in \mathbf{Q}$ 

 $\mathbf{F}$  = final states, such that  $\mathbf{F} \in \mathbf{Q}$ 

 $\delta$  = finite set of rules defined from Qx $\Sigma$  to Q

#### FSA as word processors

- A set of FSA is not just a mechanism that recognizes or generates a lexical item, but it might represent a sentence (or a language...).
- FSA recognizing word casa and its plural form:

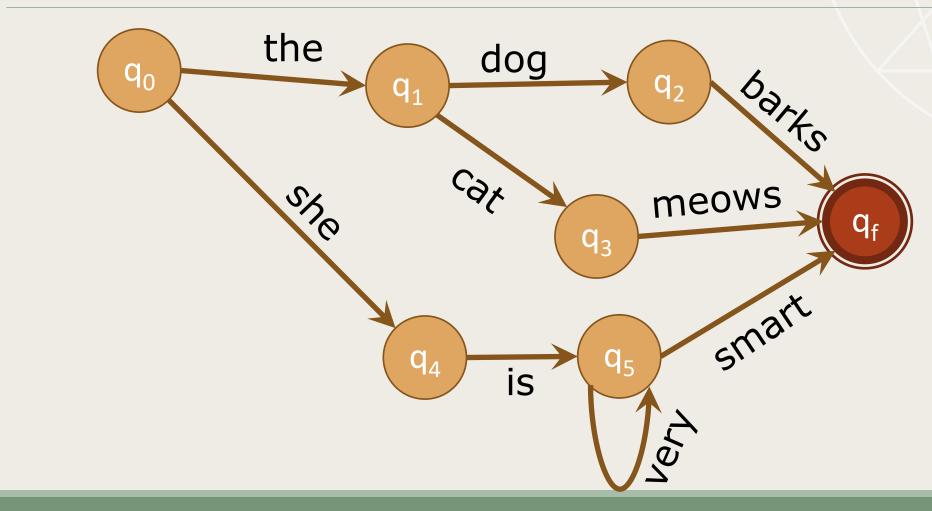


- $\Sigma = \{c,a,s,e,\#\},$
- $Q_0 = \{q_0\},$
- $F = \{q_4\},$
- Ο δ =



	$\mathbf{q}_0$	$\mathbf{q}_1$	${f q}_2$	$\mathbf{q}_3$	${f q}_4$
C	$\mathbf{q}_1$				
a		${f q}_2$		$\mathbf{q}_4$	
S			$\mathbf{q}_3$		
e				$\mathbf{q}_4$	

## Finite State Grammars (Regular Languages)



## Regular Expressions (RE)

- We can use Regular Expressions to query corpora for instance.
   RE are algebraic notation to express sets of strings.
- The key of a **RE** is the identification pattern composed by alphanumeric chars (including spacing and punctuation) and special signs indicating precise relations among chars.

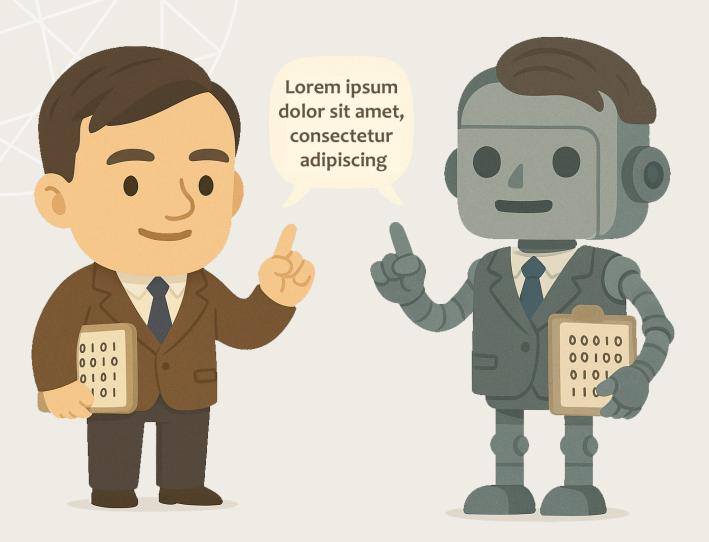
RE	Correspondence	E.g. Identified pattern	
[Cc]athedral	Cathedral or cathedral	The <u>cathedral</u> is gorgeous	
[^a-z]	All but not low chars	<u>I</u> l duomo è	
sai?d	said or sad	You must be <u>sad</u>	
ma.t	Any char between <i>a</i> and <i>t</i>	malt or matt	
bu*	b followed by any (even null) number of u	buuuuuu! or b!	
^T Vs. e\$	^ = string beginning; \$ = string end	<u>T</u> he hous <u>e</u>	
passe(s d)	expresses the logical disjuction	passes or passed	
\*	Escape char	A <u>*</u>	

## RG, FSA and RE equivalence

 Regular Grammars (RG), Finite State Automata (FSA) and Regular Expressions (RE) are equivalent, i.e. they describe the very same set of languages: Regular Languages.

Proof by construction

#### **Computing Machinery and Intelligence** (1950)



## The imitation game Alan Turing



## Do you know Eliza?



• Eliza (Weizenbaum, anno 1966):

• Human: Well, my boyfriend made me come here.

• ELIZA: Your boyfriend made you come here?

• Human: He says I'm depressed much of the time.

• ELIZA: I am sorry to hear you are depressed.

• Human: It's true. I'm unhappy.

• ELIZA: Do you think coming here will help you not to be unhappy?

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## Eliza uses regular expressions!

- RE and Substitution
  - s/Regular\_Expression\_1/Regular\_Expression\_2/
  - s/ [a-z]\* / blah /
- Registers: using block operators (round brackets indicates a block), we can reuse a matched pattern:
  - s/ the (house | car) has been bought by (Mary | John)/ \2 bought the \1 /
- Substitutions by ELIZA:
  - s/I'm [.\*?](depressed|sad)/I'm sorry to hear that you are \1/
  - s/ everybody is (.\*) / in which sense they are \1?/
  - s/ always / can you make a specific example?

#### Trivia: chatbots

Programming a chatbot for *like/dislike* patterns using «Scratch»

```
if words ▼ contains like then
                                                for use in alternate responses
  set pick v to pick random 1 to 2
      pick = 1 then
    add item (last v of words v to Likes v
    add item (last v) of words v to Dislikes v
      pick = 1 then
     if not Likes v contains item (last v of words v
      say I like that, too! for 2 secs
       delete (last ▼) of Likes ▼
       say Didn't I already tell you I liked it? for (2) secs
    if not Dislikes ▼ contains item (last ▼ of words ▼
      say Really? I don't like it too much. for 2 secs
       delete last v of Dislikes
       say Didn't I already tell you I didn't like it? for 2 secs
```

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#### Trivia: ChatGPT (GPT-3.5)



Write a limerick wishing Noam Chomsky a happy 94th birthday.



There once was a man named Noam

Whose mind was as sharp as a poem

He's turning ninety-four

And we couldn't be more

Excited to celebrate his happy birthday, oh Noam!

With his theories on language and thought

He's taught us a thing or two that we ought

To know about the mind

And the ways it's designed

So here's to Noam, our brilliant and wise old tot!

May your day be filled with joy and cheer

And may the coming year be filled with good cheer

Happy birthday, Noam, you truly are

A treasure to the world of linguistics, by far!



Have more people been to Berlin than I have?



It is impossible for me to say for sure whether more people have been to Berlin than you have. This is because I am a large language model trained by OpenAI and do not have the ability to access information about your personal experiences or the experiences of others. In general, however, Berlin is a popular tourist destination and it is likely that many people have visited the city.





# Is ChatGPT "different" from Eliza?

#### On the Dangers Can Language M

The New York Times

OPINION GUEST ESSAY

Emily M. Bender\*

# Last 6 months 1. Piantadosi - Modern language models refute Chomsky's approach to language 2. Agodio et al. - Indexed definiteness without demonstratives in Guébie 3. Müller - Large Language Models: The best linguistic theory, a wrong linguistic theory, or no linguistic theory at all? 4. Manova - Machine learning versus human learning: Basic units and form-meaning manning

Modern language models refute Chomsky's approach to language

Steven Piantadosi July 2024

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8. Man Modern machine learning has subverted and bypassed the theoretical framework of Chomsky's generative approach to models linguistics, including its core claims to particular insights, principles, structures, and processes. I describe the sense in which 9. Gree modern language models implement genuine theories of language, and I highlight the links between these models and 10 ct. approaches to linguistics that are based on gradient computations and memorized constructions. I also describe why these

10. Chr
approaches to linguistics that are based on gradient computations and memorized constructions. I also describe why these
models undermine strong claims for the innateness of language and respond to several critiques of large language models,
including arguments that they can't answer "why" questions and skepticism that they are informative about real life
acquisition. Most notably, large language models have attained remarkable success at discovering grammar without using any
of the methods that some in linguistics insisted were necessary for a science of language to progress. (UPDATED: With a
postscript on replies to the original draft)

Format: [ pdf ]

Published in:

Reference: lingbuzz/007180

(please use that when you cite this article)

Edward Gibson & Moshe Poliak (eds.), From fieldwork to linguistic theory: A tribute to Dan Everett (Empirically Oriented Theoretical Morphology and Syntax 15), 353–414. Berlin: Language Science

Press. https://doi.org/10.5281/zenodo.12665933.

keywords: large language model, minimalism, chomsky, generative syntax, emergent, computational modeling,

statistical learning, cognitive science, syntax

v7 [November 2023] v6 [October 2023]

previous v5 [September 2023]

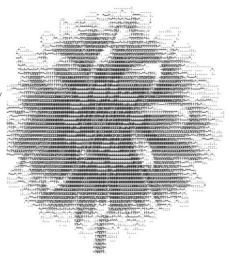
versions: v4 [March 2023] v3 [March 2023]

v2 [March 2023] v1 [March 2023]

Downloaded: 31240 times

## Noam Chomsky: The False Promise of ChatGPT

March 8, 2023



#### msky, Ian Roberts and Jeffrey Watumull

ur. unomsky and Dr. Roberts are professors of linguistics. Dr. Watumull is a director of artificial intelligence at a science and technology company.

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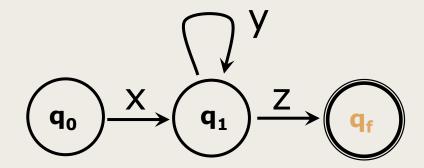
# How to determine if a string can be generated by a Regular Grammar?

Pumping lemma for Regular Grammar

If A is a Regular Language, then there is a number p (expressing «pumping» magnitude), for which, if s is a generic string A of length at least equal to p, then it can be split in 3 parts,

*s* = *xyz* such that:

- For any  $i \ge 0$ ,  $xy^iz \in A$
- $||y|\rangle 0$
- $|||. |xy| \leq p$



 a<sup>n</sup>b<sup>n</sup> (counting recursion) cannot be generated by Regular Grammars (no way to pump a number of as followed by the very same number of bs)

#### Context-Free Grammars

• Context-Free Grammars (CFG) admits only this kind of rules:

 $A \rightarrow \gamma$  (where  $\gamma$  is any sequence of (non)terminal symbols)

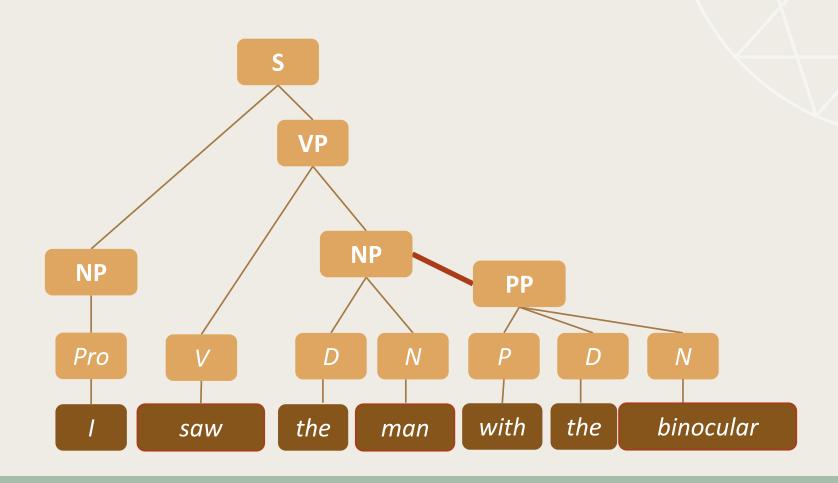
Languages generated by CFG are named Context-Free Languages

• Any CFG can be «converted» in a (weakly) equivalent CFG in the Chomsky Normal Form (CNF):

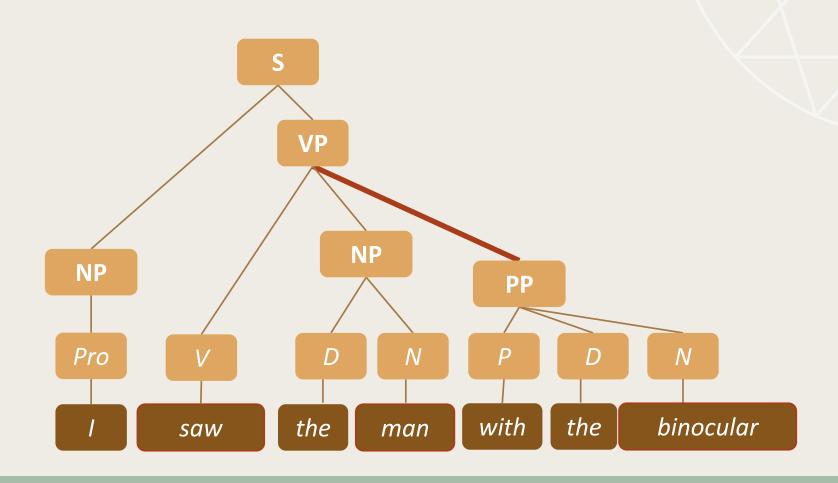
$$A \rightarrow BC$$

$$A \rightarrow a$$

## Describing syntactic ambiguity



## Describing syntactic ambiguity



## Describing syntactic ambiguity

 Rules with the same left-side symbol should be present in the grammar to permit ambiguity:

- $\bullet$  VP  $\rightarrow$  V NP
- $\bullet$  VP  $\rightarrow$  V NP PP
- $\bullet$  NP  $\rightarrow$  D N
- $\bullet$  NP  $\rightarrow$  D N PP

#### Push-Down Automata

 $\odot$  A **Push-Down Automata** (PDA) is a finite state automata endowed with a memory **stack**; PDAs are defined by 6-tuples  $\langle Q, \Sigma, q_0, F, \delta, \Gamma \rangle$  where:

**Q** = finite and non-null set of states

Σ = finite and non-null set of characters accepted as input (alphabet)

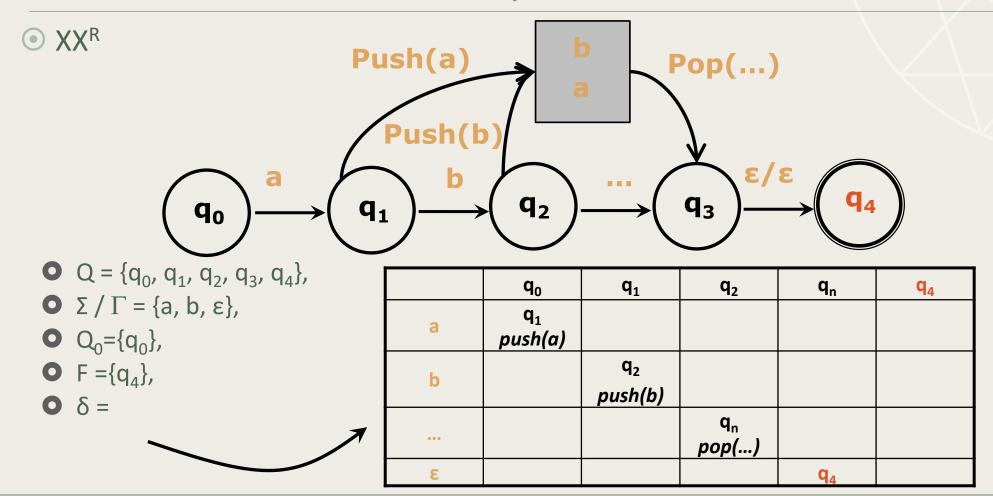
 $\mathbf{q_0}$  = initial state(s), such that  $\mathbf{q_0} \in \mathbf{Q}$ 

 $\mathbf{F}$  = final states(s), such that  $\mathbf{F} \in \mathbf{Q}$ 

 $\delta$  = finite and non-null set of transitional rules defined from Q x Σ x  $\Gamma$  to Q x  $\Gamma$ 

 $\Gamma$  = finite and non-null set of characters that can be stored in memory (  $\Gamma$  can have the same symbols as  $\Sigma$  )

#### PDA can parse mirror recursion



### CFG and PDA equivalence

 Context-Free Grammars (CFG), and Push-Down Automata (PDA) are equivalent (i.e. they describe the very same set of languages: the Context-Free Languages).

#### «Demonstration» by construction:

- 1. For any S rule, create a PDA  $q_0$  rule such that:  $(q_0, \varepsilon, \varepsilon) \rightarrow (q_1, S)$
- 2. For any other CFG rule such that  $A \rightarrow x$ , create PDA rules such that:  $(q_1, \epsilon, A) \rightarrow (q_1, x)$
- 3. For any symbol  $a: a \in V_T$ , create PDA rules such that:  $(q_1, a, a) \rightarrow (q_1, \epsilon)$

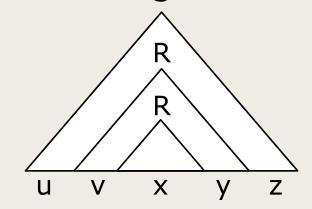
#### Limits of CFGs?

#### Pumping lemma for Context-Free Grammars

If A is a Context-Free Language, then there is a number p (expressing the "pumping" length), for which, if s is a string of A of length at least equal to p, then it can be divided in 5 parts,

*s* = *uvxyz* such that:

- I. For any  $i \ge 0$ ,  $uv^i x y^i z \in A$
- |||.  $|vxy| \leq p$



• E.g. neither anbncn nor XX is not generable by CFGs.

# Inclusion relations among Grammars

#### • Chomsky's Hierarchy (1956, 59):

```
Type 3: Regular Grammars (equivalent device: Finite State Automata) A \rightarrow xB

Type 2: Context Free Grammars (equivalent device: Push-Down Automata) A \rightarrow \gamma

Type 1: Context Sensitive Grammars (e.g.: Linear-Bounded Automata) \alpha A\beta \rightarrow \alpha \gamma\beta (\gamma \neq \epsilon)

Type 0: Turing Equivalent Grammars (e.g. Augmented Transition Networks) \alpha \rightarrow \beta (\alpha \neq \epsilon)
```

#### La **Gerarchia** di Chomsky



#### THE ALGEBRAIC THEORY OF CONTEXT-FREE LANGUAGES\*

#### N. CHOMSKY

Massachusetts Institute of Technology

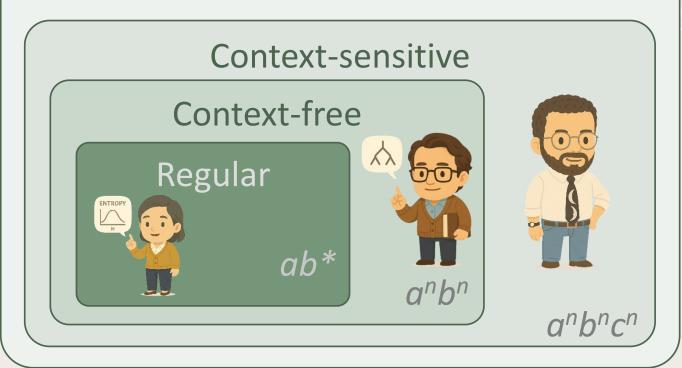
AND

#### M. P. SCHÜTZENBERGER

Harvard University

\* This work was supported in part by the U. S. Army Signal Corps, the Air Force Office of Scientific Research, and the Office of Naval Research; and in part by the National Science Foundation; and in part by a grant from the Commonwealth Fund.

#### Recursive enumerable (Turing Machines)



Intro to linguistic computation C. CHESI 51

## Where are Natural Languages?

 Natural languages are NOT generable by Regular Grammars (Chomsky 1956):

Natural languages are NOT even generable by Context-Free Grammars (Shieber 1985):

Jan säit das mer em Hans es huus hälfed aastriiche ("famous" Swiss-German dialect)

J. says that we to H. The house have helped painting

Gianni, Luisa e Mario sono rispettivamente sposato, divorziata e scapolo ("ABC...ABC"... Are languages of the XX kind)

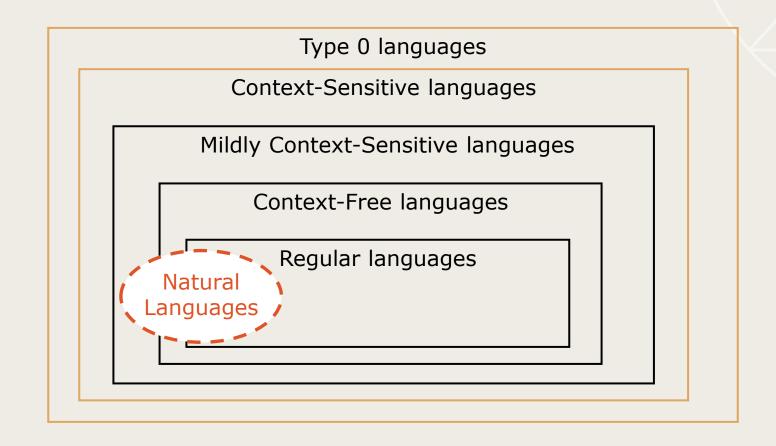
### Where are Natural Languages?

- Recursion in natural languages (that is, how to make infinite use of finite means):
  - Right recursion (ab<sup>n</sup>: iteration or «tail recursion»): [the dog bit [the cat [that chased [the mouse [that ran]]]]]
  - Center embedding (a<sup>n</sup>b<sup>n</sup>: counting recursion or «true recursion»): [the mouse [(that) the cat [(that) the dog bit] chased] ran]
  - Cross-serial dependencies (xx, identity recursion)

    Aldo, Bea e Carlo sono rispettivamente sposato, nubile e divorziato

    A.male, B.female, C.male are respectively married\_male, unmarried\_female & divorced\_male

### Where are Natural Languages?



## Today's key concepts

#### What's a formal grammar

- Rewriting Rules and Recursion
- Rewriting Rules restrictions create grammar classes organized in an inclusion hierarchy (Chomsky's Hierarchy)
- Regular Grammars (RG), Regular Expressions (RE) and Finite State Automata (FSA) equivalence
- Context-Free Grammars (CFG) and Push-Down Automata (PDA) equivalence
- Using pumping lemmas to decide if a certain string property can be captured of not by a certain class of grammars
- Natural languages are neither Regular, nor Context-Free (though RGs and CFGs are often used to process Natural Languages!)